



03-24-05

1FW/3724

PATENT  
020441

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit 3724

Examiner Nguyen :

In re application of :

Flaherty et al. :

CNC SLITTER  
MACHINE

Serial No. 10/008,936 ✓ :

Filed November 8, 2001 :

Group No. 3700

**REQUEST FOR COPY OF  
INITIALED SUBSTITUTE FORM PTO 1449**

Pittsburgh, Pennsylvania 15222  
March 23, 2005

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

On May 10, 2002, Applicant filed Supplemental information Disclosure Statement and two sheets of Substitute Form PTO-1449. Copies of those documents are enclosed. Applicant has received a copy of Sheet 1 that has been initialed by the Examiner (copy enclosed), but

"Express Mail" mailing label number EU990158959US

Date of Deposit March 23, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: Issue Fee, Commissioner for Patents, Alexandria, VA 22313-1450

Applicant has not received a copy of sheet 2 of Substitute Form PTO 1449. Applicant respectfully requests a copy of that form to complete its file.

Applicant has not yet paid the issue fee, but intends to do so, prior to the due date of June 10, 2005.

Respectfully submitted,

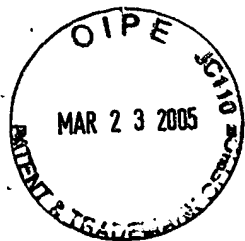
A handwritten signature in black ink, appearing to read "Thomas J. Edgington", written over a horizontal line.

Thomas J. Edgington  
Registration No. 34,324

Attorney for Applicant

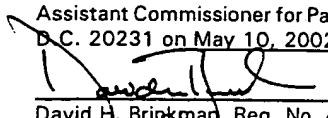
Kirkpatrick & Lockhart Nicholson, Graham LLP  
Henry W. Oliver Building  
535 Smithfield Street  
Pittsburgh, PA 15222

(412) 355-8303



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on May 10, 2002.

 5/10/02  
David H. Brinkman, Reg. No. 40,532

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/008,936  
Filed: November 8, 2001  
Examiner: Unknown  
Confirmation No.: 4801  
Group Art Unit: 3725  
Applicants: Flaherty et al.  
Title: **CNC SLITTER MACHINE**  
Our Ref.: GWW-05P2

Cincinnati, Ohio 45202

May 10, 2002

BOX- IDS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of candor and good faith imposed by 37 C.F.R. §1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the reference listed on the attached Form PTO-1449 is called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application. A copy of the cited references are enclosed herewith.


No representation is made that the cited art is the only art or that the cited art represents the best art.

The Examiner is urged to consider the cited document and to make an independent evaluation of the teachings and materiality of it.

No fees are believed to be due. However, if any additional fees are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

BY   
David H. Brinkman  
Reg. No. 40,532

2700 Carew Tower  
441 Vine Street  
Cincinnati, OH 45202  
(513) 241-2324 - Voice  
(513) 421-7269 - Facsimile

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. GWW/05P2		SERIAL NO. 10/008,936	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)				APPLICANT Patrick M. Flaherty et al.			
				FILING DATE November 8, 2001		GROUP 3725	

MAR 23 2005  
 U.S. PATENT & TRADEMARK OFFICE

37 CFR 1.98(b)

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	B.A						
	B.B						
	B.C						
	B.D						
	B.E						
	B.F						
	B.G						
	B.H						
	B.I						
	B.J						
	B.K						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)		
	B.L						
	B.M						
	B.N						
	B.O						
	B.P						
	B.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)	
B.R	FIMI News, <u>Multiblades CNC Slitting Shear</u> , Vigano Brianza (Como), Italy (2 pages)
B.S	
B.T	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
GWWW/05P2SERIAL NO.  
10/008,936INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT  
Patrick M. Flaherty et al.FILING DATE  
November 8, 2001GROUP  
3725

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A						
	A.B						
	A.C						
	A.D						
	A.E						
	A.F						
	A.G						
	A.H						
	A.I						
	A.J						
	A.K						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R	Heinrich Georg GMBH-Maschinenfabrik, <u>Our Production Programme</u> , Kreuztal (3 pages)
A.S	Source Unknown, 4 Pictures (1 page)
A.T	Josef Frohling GMBH, <u>Slitting Line</u> , Brochure, Rolling Mill Plant Manufacturers, Olpe, Biggesee (1 page)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

COPIES OF PAPERS  
ORIGINALLY FILED

Sheet 1 (A) of 2

SUBSTITUTE FORM PTO-1449  
(MODIFIED)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
GWW/05P2

SERIAL NO.  
10/008,936

MAY 21 2002

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

MAR 23 2005

(37 CFR 1.88(d))

Use several sheets if necessary

APPLICANT  
Patrick M. Flaherty et al.

FILING DATE  
November 8, 2001

GROUP  
3725

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A						
	A.B						
	A.C						
	A.D						
	A.E						
	A.F						
	A.G						
	A.H						
	A.I						
	A.J						
	A.K						

RECEIVED  
MAY 24 2002  
11:37:00 MAIL ROOM

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L						
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

<i>pn</i>	A.R	Heinrich Georg GMBH-Maschinenfabrik, <u>Our Production Programme</u> , Kreuztal (3 pages)
<i>pn</i>	A.S	Source Unknown, 4 Pictures (1 page)
<i>pn</i>	A.T	Josef Frohling GMBH, <u>Slitting Line</u> , Brochure, Rolling Mill Plant Manufacturers, Olpe, Biggesee (1 page)

EXAMINER

*Cliving Nguyen*

DATE CONSIDERED

07-09-03

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered.  
Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

BEST AVAILABLE COPY